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# **Aircrew Availability: Modeling Predictors of Duties Not Including Flying Status**



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## 1.0 SUMMARY

Aerospace medicine practitioners track the epidemiology of conditions that limit aircrew availability and work toward prevention of these conditions. These prevention efforts should focus on those conditions that are the primary driver of aircrew non-availability. The purpose of this study was to reuse available datasets to conduct an analysis of potential predictors of U.S. Air Force aircrew non-availability in terms of being in “duties not to include flying” (DNIF) status. This study was a retrospective cohort analysis of U.S. Air Force aircrew on active duty during the period from 2003-2012. Predictor variables included age, Air Force Specialty Code (AFSC), clinic location, diagnosis, gender, and pay grade. The response variable was DNIF duration. Nonparametric methods were used for the exploratory analysis and parametric methods were used for model building and statistical inference. Significant associations were observed between age, AFSC, clinic, and primary diagnosis category and expected DNIF duration. While controlling for specific diagnoses, increasing age was positively associated with expected DNIF duration. Six AFSCs were associated with an increased expected DNIF duration; however, these AFSCs were not significant drivers of DNIF duration based on the Pareto analysis. There was observed variability in expected DNIF duration based on clinic, with six clinics identified as significant DNIF drivers after controlling for other demographic, occupational, and health factors. Forty of 389 diagnostic categories were included and significant in the final model. Based on Pareto analysis, 25 of these primary diagnosis categories were significant DNIF drivers relative to the other diagnoses: reproductive/pregnancy-related conditions, mental health conditions, fractures and degenerative joint conditions, cardiopulmonary conditions, ocular conditions, thyroid disorders, migraine headaches, enteritis and colitis, hernias, and renal calculi. Specific demographic (i.e., age), occupational (i.e., AFSC), and health (i.e., clinic location and primary diagnosis category) factors were identified that were significantly associated with expected DNIF duration. Subsequent research should focus on the application of primary, secondary, and tertiary prevention measures to ameliorate the potential impact of these DNIF drivers where possible.

## 2.0 INTRODUCTION

Practitioners of clinical medicine are trained to prevent, diagnose, and treat conditions that alter a patient’s physiology and functional state in a normal environment. Practitioners of aerospace medicine must also understand the interaction of a patient’s normal or abnormal physiology and functional state within the mission environment and the resulting impact on overall flight safety and performance. Accordingly, in managing acute and chronic illnesses, the aerospace medicine practitioner has the additional duty of rendering an aeromedical disposition, that is, an occupational medicine determination whether a particular aircrew member is “fit to fly.” Prudent aerospace medicine practitioners also track the epidemiology of conditions that limit aircrew availability and work toward prevention of these conditions [1]. Given ever-present resource constraints, not the least of which is aerospace medicine practitioner time, prevention efforts should focus on those conditions that are the primary driver of aircrew non-availability. Unfortunately, there is scant published literature on this subject to inform the aerospace medicine practitioner.

The purpose of this study was to reuse available datasets to conduct an exploratory analysis of potential predictors of U.S. Air Force (USAF) aircrew non-availability in terms of

being in “duties not to include flying” (DNIF) status. The following hypotheses guided this study:

- $H_1$ : Demographic factors, to include age and gender, are associated with duration of DNIF status.
- $H_2$ : Occupational factors, to include Air Force Specialty Code (AFSC), service component, and pay grade, are associated with duration of DNIF status.
- $H_3$ : Health factors, in terms of diagnoses and clinic, are associated with duration of DNIF status.

## 3.0 METHODS

### 3.1 Study Design

This study was conducted under a human-use protocol approved by the 711<sup>th</sup> Human Performance Wing Institutional Review Board. A waiver of informed consent of participants was granted due to the impracticality of obtaining written consent from each participant in the study population. This study was a retrospective cohort analysis of USAF aircrew on active duty during the period from 2003-2012. This study reused a dataset created for a study analyzing all outpatient healthcare encounters occurring in any of the USAF’s Flight and Operational Medicine Clinics (FOMCs) during the period from 2003-2012 [2] as well as archival data on DNIF events extracted from the Aeromedical Services Information Management System (ASIMS). Inclusion criteria were USAF service members receiving care at an FOMC with at least one DNIF episode. Participants were excluded if they had missing data in the response variable, DNIF, or in the personal identifier.

### 3.2 Data and Variables

The basic unit of analysis was a DNIF episode. The duration of the DNIF episode and the associated primary diagnosis, recorded in terms of International Classification of Diseases, Ninth Revision, Clinical Modification (ICD-9-CM) diagnosis codes, were obtained from ASIMS. Participant age (continuous), gender (categorical with 2 levels), pay grade (categorical with 16 levels), AFSC (categorical with 270 levels [using career group, career field, and career field subdivision for enlisted personnel and career group and functional area for officers]), service component (categorical with 3 levels), and FOMC location (categorical with 77 levels) for each DNIF episode were obtained from the preexisting study database; details on the creation of this dataset are available elsewhere [2].

Diagnosis codes were recoded using a software tool developed as part of the Healthcare Cost and Utilization Project (HCUP). The Clinical Classification Software (CCS) for ICD-9-CM aids analysts to collapse diagnostic data from over 14,000 diagnosis codes that make up the ICD-9-CM standardized coding system into clinically meaningful categories [3]. The 367 tertiary level classifications were used, with 22 additional levels for Department of Defense (DoD) specific categories, such as “Medication Education,” “Armed Forces Health Exam,” and “Travel Medication Education,” for a total of 389 levels.



### 3.3 Statistical Analysis

The original, reused dataset [2] comprised 90,331 distinct participants. A total of 7858 participants did not meet the study inclusion criteria or were excluded because of missing data. The final study population comprised 389,976 DNIF events from 82,473 distinct participants. The study dataset was randomly partitioned into several samples: a learning sample (235,919 DNIF events from 50,000 distinct participants) for exploratory analysis and initial variable selection, a training sample (70,150 DNIF events from 15,000 distinct participants) for further variable selection using a marginal longitudinal model, and a validation sample (71,938 DNIF events from 15,000 distinct participants) for statistical inference using the variables selected in the first two steps. A fourth remainder sample of 11,969 DNIF events from 2473 distinct participants was unused; this sample was held back in case further data exploration was necessary. Nonparametric methods were used for the exploratory analysis and parametric methods were used for model building and statistical inference given the greater ease of interpretation of the latter (e.g., standard errors,  $p$ -values, etc.). Separating variable selection and model building ensured that the reported standard errors and  $p$ -values were valid.

Tree-based gradient boosting machine (GBM) [4] modeling was used for exploratory analysis on the learning sample. The GBM variable importance capability was used to select the most influential predictors to include in the parametric analyses; larger variable importance scores suggested greater importance in terms of predicting the response. Prior to analysis, all high-level categorical variables were one-hot encoded; that is, a separate dummy variable was created for every level of each variable. This procedure yielded a total of 783 predictor variables that were used for exploratory analysis of the GBM. Variables with non-zero importance scores were subsequently included in the parametric analyses. Since the study objective was to identify population-wide predictors of DNIF duration rather than inference on individuals, a marginal model rather than a longitudinal model was used [5]. A negative binomial model with a log link function was chosen because the response variable was a count variable with dissimilar mean and variance (thus making a Poisson model a suboptimal choice). Predictor variables included age, gender, pay grade, AFSC, service component, FOMC location, and diagnosis. Participant was a random repeated measure in the model, and a compound symmetry (exchangeable) covariance structure was assumed.

R version 3.3.2 [6] was used for data preparation and calculation of summary statistics. The R `gbm` package, version 2.1.1, was used to accomplish the GBM modeling. SAS version 9.4 (SAS Institute, Cary, NC) was used to create the sample datasets (PROC SURVEYSELECT). SAS (PROC GENMOD) was used to fit the marginal longitudinal model on the training sample and estimate the model of the validation sample. Statistical significance was defined as  $p = 0.0001$ .

## 4.0 RESULTS

### 4.1 Descriptive Statistics

Table 1 provides descriptive statistics for the measured variables for the final study population. Clinic location is not displayed, as only clinic pseudointifiers were provided to preserve data de-identification. With the exception of primary diagnosis category, summary statistics were computed on the basis of the population of unique participants using a randomly selected DNIF event during the first year of observation to establish a measurement for each variable. In contrast, summary statistics for primary diagnosis category were computed based on the population of DNIF events.

### 4.2 Hypothesis Testing

In the final validation sample, the predicted results of the marginal longitudinal model for DNIF duration had a correlation ( $r$ ) of 0.45 with the actual number of DNIF days. Out of the 783 predictor variables used in the GBM model fitted on the learning data, 339 variables had a non-zero relative influence and were included in the parametric analyses. Of these predictor variables, 84 variables exclusive of the intercept had statistically significant associations at  $p \leq 0.0001$  with DNIF duration when the initial negative binomial model was fitted using the training data. Fifty-two variables, not including the intercept, had statistically significant associations at alpha  $p \leq 0.0001$  with DNIF duration when the final negative binomial model was fitted on the validation dataset (Table 2).

Based on the model results, we partially accept hypothesis 1 that demographic factors are associated with the duration of DNIF status. There was a significant association with age and duration of DNIF status, while gender was not a predictor variable selected for inclusion in the model. We also partially accept hypothesis 2 that occupational factors are associated with the duration of DNIF status. Of the occupational factors considered, only AFSC was selected for inclusion in the model, and then only 6 of the potential 270 levels of this variable were included and significant in the final model. We accept hypothesis 3 that health factors are associated with the duration of DNIF status. Forty of 389 diagnostic categories were included and significant in the final model, while 7 out of 77 potential clinic locations were included. Figure 1 provides a Pareto display of the primary diagnosis categories that were significantly associated with expected days DNIF. Six clinics and 25 diagnosis categories were the primary drivers of DNIF duration based on observed effect size.

**Table 1. Descriptive Statistics for the Study Population**

Variable	Descriptive Statistic
<i>N</i>	82,473
DNIF events:	
<i>N</i>	389,976
Duration, days, median (IQR)	7.00 (13.58)
Age, yr, median (IQR)	27 (12)
Gender (ref male), no. (%)	72, 834 (88.31)
Service component, no. (%):	
Active duty	75,379 (91.40)
Reserve	3909 (4.74)
National Guard	3185 (3.86)
AFSC, no. (%):	
Officer:	
92TX Pilot trainee	7333 (20.59)
11FX Fighter pilot	3351 (9.41)
13SX Space and missile	2824 (7.93)
11MX Mobility pilot	2697 (7.57)
11AX Airlift pilot	2068 (5.82)
11KX Trainer pilot	1747 (4.91)
13BX Air battle manager	1570 (4.41)
11TX Tanker pilot	947 (2.67)
11RX Reconnaissance/surveillance/electronic warfare pilot	784 (2.20)
12RX Reconnaissance/surveillance/electronic warfare combat systems officer	783 (2.20)
12BX Bomber combat systems operator	713 (2.00)
11BX Bomber pilot	629 (1.77)
11SX Special operations pilot	563 (1.58)
12FX Fighter combat systems officer	561 (1.57)
62EX Developmental engineer	557 (1.56)
46FX Flight nurse	486 (1.36)
11HX Helicopter pilot	481 (1.35)
92SX Student officer authorization	476 (1.34)
Other <sup>a</sup>	7040 (19.77)
Enlisted:	
1C1XX Air traffic control	4773 (13.06)
1A2XX Aircraft loadmaster	4233 (11.58)
9T0XX Basic enlisted airman	2764 (7.56)
1A1XX Flight engineer	2344 (6.41)
1A8XX Airborne cryptologic linguist	2303 (6.30)
1A3XX Airborne mission system	1718 (4.70)
1C6XX Space systems operations	1469 (4.02)
1A0XX In-flight refueling	1447 (3.96)
4N0XX Aerospace medical service	1313 (3.59)
1A4XX Airborne operations	1259 (3.44)
1C5XX Command and control battle management operations	1118 (3.06)
2A5XX Aerospace maintenance	818 (2.24)
3P0XX Security forces	802 (2.19)
1C4XX Tactical air control party	749 (2.05)
1C2XX Combat control	730 (2.00)
1T2XX Pararescue	729 (1.99)
1N1XX Geospatial intelligence	569 (1.56)

**Table 1. Descriptive Statistics for the Study Population (concluded)**

Variable	Descriptive Statistic
Enlisted AFSC (continued):	
1A7XX Aerial gunner	513 (1.4)
Other <sup>a</sup>	6906 (18.91)
Missing	10,306 (12.50)
Primary diagnosis category, <sup>b</sup> no. (%):	
Diseases of the respiratory system	104,637 (26.83)
DoD specific: education or counseling	48,117 (12.34)
Diseases of the digestive system	31,177 (7.99)
Diseases of the nervous system and sense organs	30,625 (7.85)
Symptoms; signs, ill-defined conditions and factors influencing health status	26,360 (6.76)
Diseases of the musculoskeletal system and connective tissue	24,521 (6.29)
Injury and poisoning	22,404 (5.74)
Diseases of the skin and subcutaneous tissue	5529 (1.42)
Infectious and parasitic diseases	5425 (1.39)
Residual codes, unclassified, all E codes	5228 (1.34)
Complications of pregnancy; childbirth; and the puerperium	4917 (1.26)
Diseases of the genitourinary system	4899 (1.26)
Diseases of the circulatory system	4277 (1.10)
Mental illness	3494 (0.90)
Endocrine; nutritional; and metabolic diseases immunity disorders	3129 (0.80)
DoD specific exams	1228 (0.31)
Neoplasms	768 (0.20)
Congenital anomalies	462 (0.12)
Diseases of the blood and blood-forming organs	174 (0.04)
Certain conditions originating in the perinatal period	83 (0.02)
Other DoD specific diagnoses	16 (<0.01)
DoD specific: traumatic brain injury	5 (<0.01)
Missing	62,501 (16.03)
Pay grade, no. (%):	
Officer	
O1	16,433 (36.29)
O2	5180 (11.44)
O3	11,057 (24.42)
O4	6045 (13.35)
O5	5178 (11.44)
O6+	1388 (3.07)
Enlisted	
E1	1688 (4.54)
E2	2366 (6.36)
E3	10,809 (29.06)
E4	5152 (13.85)
E5	8319 (22.37)
E6	4935 (13.27)
E7	2979 (8.01)
E8	753 (2.02)
E9	191 (0.51)

Note: IQR = interquartile range.

<sup>a</sup>Only AFSCs comprising 80% of participants shown for brevity.

<sup>b</sup>HCUP-CCS secondary level diagnosis categories shown for brevity.

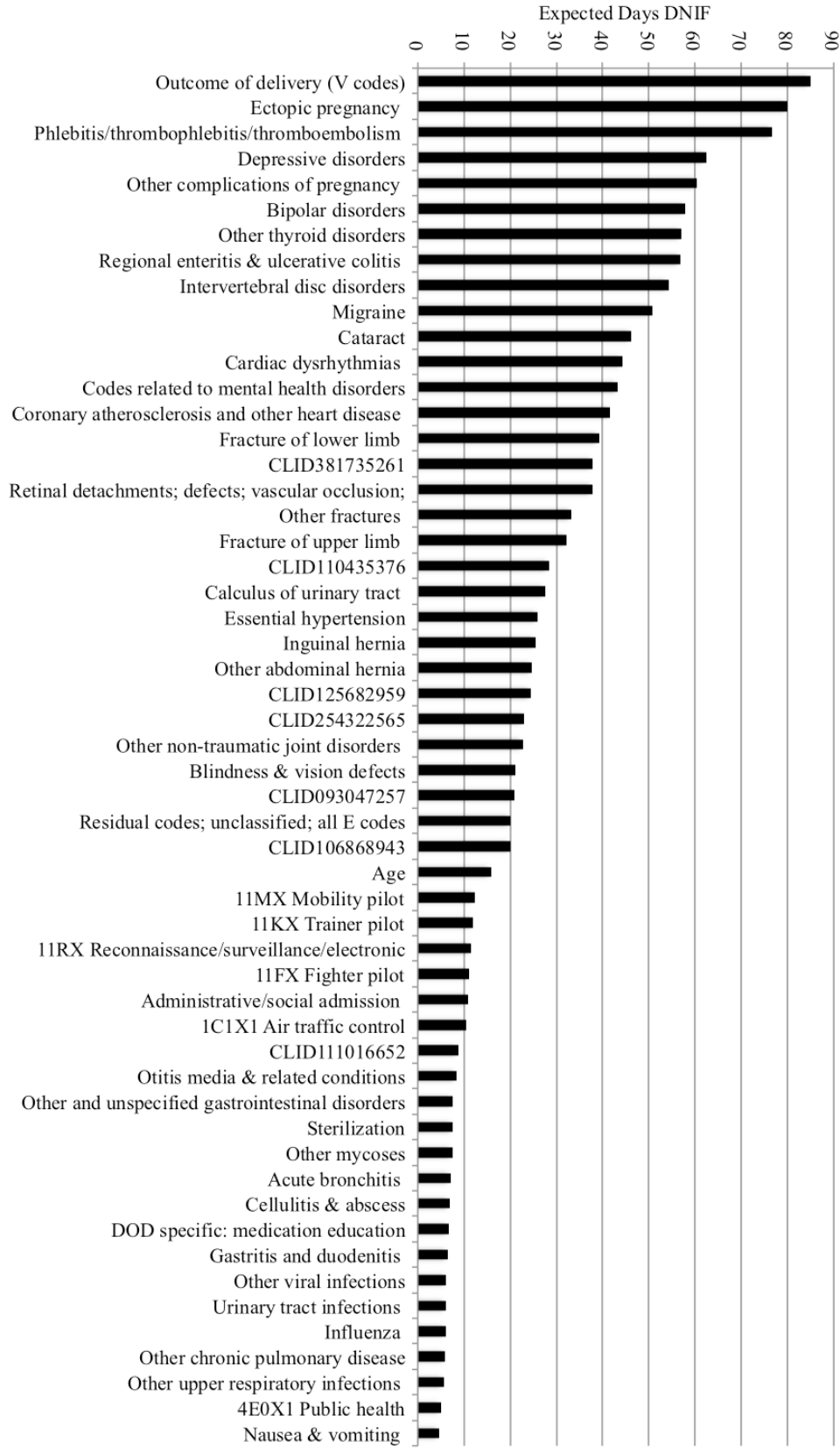
**Table 2. Negative Binomial Regression Model Results for DNIF Duration**

<i>Variable</i>	<i>B</i>	<i>SE (B)</i>	<b>Expected Days DNIF</b>	<i>p</i> -value
Intercept	2.7409	0.0459	15.50	<0.0001
Age	0.0219	0.0013	15.84	<0.0001
Gender (ref = male)	0.1019	0.0280	17.16	0.0003
Clinic location:				
CLID025606592	0.0950	0.0564	17.05	0.0925
CLID068902320	0.0866	0.0648	16.90	0.1810
CLID093047257	0.2947	0.0742	20.81	<0.0001
CLID106868943	0.2582	0.0673	20.07	0.0001
CLID109616999	0.2022	0.0616	18.97	0.0010
CLID110435376	0.6035	0.1325	28.34	<0.0001
CLID111016652	-0.5729	0.0517	8.74	<0.0001
CLID125682959	0.4580	0.0459	24.51	<0.0001
CLID147851280	0.4382	0.1614	24.03	0.0066
CLID254322565	0.3939	0.0644	22.98	<0.0001
CLID322301264	0.1306	0.0682	17.66	0.0555
CLID381735261	0.8947	0.1019	37.92	<0.0001
Primary diagnosis category:				
Acute bronchitis	-0.7744	0.0811	7.15	<0.0001
Administrative/social admission	-0.3520	0.0919	10.90	0.0001
Allergic reactions	-0.3797	0.1013	10.60	0.0002
Bipolar disorders	1.3169	0.1711	57.85	<0.0001
Blindness and vision defects	0.3070	0.0505	21.07	<0.0001
Calculus of urinary tract	0.5757	0.1134	27.57	<0.0001
Cardiac dysrhythmias	1.0505	0.1189	44.32	<0.0001
Cataract	1.0928	0.2491	46.23	<0.0001
Cellulitis and abscess	-0.8052	0.0789	6.93	<0.0001
Codes related to mental health disorders	1.0261	0.1731	43.25	<0.0001
Coronary atherosclerosis and other heart disease	0.9879	0.2387	41.63	<0.0001
Depressive disorders	1.3929	0.1622	62.41	<0.0001
DoD specific: medication education	-0.8379	0.0274	6.71	<0.0001
Ectopic pregnancy	1.6414	0.0956	80.02	<0.0001
Encephalitis, except that caused by TB or STD	-0.8920	0.3284	6.35	0.0066
Endometriosis	0.5373	0.3597	26.53	0.1352
Essential hypertension	0.5179	0.0769	26.02	<0.0001
Fracture of lower limb	0.9274	0.0780	39.19	<0.0001
Fracture of upper limb	0.7325	0.0566	32.25	<0.0001
Gastritis and duodenitis	-0.8559	0.1386	6.59	<0.0001
Glaucoma	0.5312	0.1635	26.37	0.0012
Heart valve disorders	0.7483	0.3682	32.76	0.0421
Influenza	-0.9445	0.1113	6.03	<0.0001
Inguinal hernia	0.4951	0.0956	25.43	<0.0001
Intervertebral disc disorders	1.2521	0.0769	54.22	<0.0001
Migraine	1.1869	0.1766	50.80	<0.0001
Nausea and vomiting	-1.1883	0.0951	4.72	<0.0001
Non-Hodgkins lymphoma	0.2085	0.2727	19.09	0.4444
Other abdominal hernia	0.4652	0.1009	24.68	<0.0001
Other aftercare	0.1566	0.0426	18.13	0.0002
Other and ill-defined heart disease	1.5975	0.5827	76.58	0.0061

**Table 2. Negative Binomial Regression Model Results for DNIF Duration (concluded)**

<i>Variable</i>	<i>B</i>	<i>SE (B)</i>	<b>Expected Days DNIF</b>	<i>p-value</i>
Primary diagnosis category (continued):				
Other and unspecified gastrointestinal disorders	-0.7095	0.1148	7.62	<0.0001
Other and unspecified asthma	1.3220	0.4394	58.14	0.0026
Other chronic pulmonary disease	-0.9553	0.0708	5.96	<0.0001
Other complications of pregnancy	1.3600	0.1821	60.39	<0.0001
Other fractures	0.7649	0.0961	33.31	<0.0001
Other mycoses	-0.7298	0.1116	7.47	<0.0001
Other non-traumatic joint disorders	0.3820	0.0557	22.71	<0.0001
Other thyroid disorders	1.3024	0.1407	57.01	<0.0001
Other upper respiratory infections	-1.0044	0.0239	5.68	<0.0001
Other viral infections	-0.9378	0.0642	6.07	<0.0001
Otitis media and related conditions	-0.6187	0.0689	8.35	<0.0001
Outcome of delivery (V codes)	1.7020	0.0575	85.02	<0.0001
Peri-, endo-, & myocarditis; cardiomyopathy (except that caused by TB or STD)	0.4334	0.3284	23.91	0.1869
Phlebitis; thrombophlebitis and thromboembolism	1.5985	0.3762	76.66	<0.0001
Pneumonia (except that caused by TB or STD)	-0.4967	0.1524	9.43	0.0011
Pulmonary heart disease	1.2864	0.3844	56.11	0.0008
Regional enteritis & ulcerative colitis	1.3004	0.3220	56.90	<0.0001
Residual codes; unclassified; all E codes	0.2626	0.0660	20.16	<0.0001
Retinal detachments; defects; vascular occlusion; and retinopathy	0.8921	0.1102	37.83	<0.0001
Spondylosis and allied disorders	1.0224	0.3723	43.09	0.0060
Sterilization	-0.7122	0.0604	7.60	<0.0001
Urinary tract infections	-0.9415	0.1173	6.05	<0.0001
AFSC:				
11EX Experimental test pilot	-0.2035	0.2479	12.65	0.4116
11FX Fighter pilot	-0.3321	0.0444	11.12	<0.0001
11KX Trainer pilot	-0.2595	0.0498	11.96	<0.0001
11MX Mobility pilot	-0.2355	0.0302	12.25	<0.0001
11RX Reconnaissance/surveillance/electronic warfare pilot	-0.2932	0.0611	11.56	<0.0001
1C1X1 Air traffic control	-0.3921	0.0379	10.47	<0.0001
1C2X0 Combat control	0.4644	0.3949	24.66	0.2396
1C3X1 Command post	-0.0124	0.4911	15.31	0.9798
1N3X4 Cryptologic language analyst	0.7745	0.3597	33.63	0.0313
21RX Logistics readiness	0.2455	0.3964	19.81	0.5358
3C0X1 Communication-computer systems	-0.2341	0.3440	12.27	0.4961
3E5X1 Engineering	-0.5207	0.2859	9.21	0.0686
44AX Chief, hospital/clinic services	0.6519	0.2641	29.75	0.0136
48AX Aerospace medicine specialist	-0.1738	0.1555	13.03	0.2637
4E0X1 Public health	-1.1206	0.1636	5.05	<0.0001
83RX Recruiting service	0.0118	0.2995	15.68	0.9685
91WX Wing commander	-0.3013	0.1595	11.47	0.0590

Note: CLID = clinic identifier; SE = standard error; STD = sexually transmitted disease; TB = tuberculosis.



**Figure 1. Pareto display of the significant predictors of expected DNIF duration.**

## 5.0 DISCUSSION

To the best of the authors' knowledge, this study is the first attempt to systematically explore potential predictors of USAF aircrew non-availability in terms of being in DNIF status over a 10-year period. Significant associations were observed between age, AFSC, clinic, and primary diagnosis category and expected DNIF duration. While controlling for specific diagnoses, increasing age was positively associated with expected DNIF duration. Six AFSCs were associated with an increased expected DNIF duration; however, these AFSCs were not significant drivers of DNIF duration based on the Pareto analysis. There was observed variability in expected DNIF duration based on clinic, with six clinics identified as significant DNIF drivers after controlling for other demographic, occupational, and health factors. As anticipated, multiple primary diagnosis categories were associated with increased expected DNIF duration. Based on Pareto analysis, 25 of these diagnosis categories appeared to be significant DNIF drivers relative to the other diagnoses: reproductive/pregnancy-related conditions, mental health conditions, fractures and degenerative joint conditions, cardiopulmonary conditions, ocular conditions, thyroid disorders, migraine headaches, enteritis and colitis, hernias, and renal calculi. Of note, gender was not associated with expected DNIF duration after controlling for diagnoses.

Given this analysis, the next step is to evaluate those conditions found to be significant DNIF drivers and identify opportunities for primary, secondary, and tertiary prevention [7]. Since infectious diseases were not among the DNIF drivers, traditional primary prevention measures focusing on vaccination are of limited utility. Instead, primary prevention should focus on those conditions caused by injuries and/or toxic exposures resulting from modifiable environmental exposures. Secondary prevention should focus on screening, either for specific conditions or antecedent, modifiable risk factors for those conditions (e.g., hypertension and coronary atherosclerotic disease). Routine screening is already accomplished as part of the mandated, annual periodic health assessment. Subsequent research, however, is needed to correlate current screening tools with observed DNIF drivers. Finally, tertiary prevention activities should seek to minimize expected DNIF duration after a condition occurs by optimizing treatment selection and delivery throughout the care cycle for the condition.

In conclusion, specific demographic (i.e., age), occupational (i.e., AFSC), and health (i.e., clinic location and primary diagnosis category) factors were identified that were significantly associated with expected DNIF duration. Subsequent research should focus on the application of primary, secondary, and tertiary prevention measures to ameliorate the potential impact of these DNIF drivers where possible.



## 6.0 REFERENCES

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## **LIST OF ABBREVIATIONS AND ACRONYMS**

<b>AFSC</b>	Air Force Specialty Code
<b>ASIMS</b>	Aeromedical Services Information Management System
<b>CCS</b>	Clinical Classification Software
<b>CLID</b>	clinic identifier
<b>DNIF</b>	duties not to include flying
<b>DoD</b>	Department of Defense
<b>FOMC</b>	Flight and Operational Medicine Clinic
<b>GBM</b>	gradient boosting machine
<b>HCUP</b>	Healthcare Cost and Utilization Project
<b>ICD-9-CM</b>	International Classification of Diseases, Ninth Revision, Clinical Modification
<b>IQR</b>	interquartile range
<b>SE</b>	standard error
<b>STD</b>	sexually transmitted disease
<b>TB</b>	tuberculosis
<b>USAF</b>	U.S. Air Force